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Docket No.: PP019768.002

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Substitute for form 1449A/PTO			Con	nplete if Known	
CURRY DAGRAGE			Application Number	10/757,708	
SUPPLEMENTAL			Filing Date	01/14/2004	
INFORMATION DISCI			First Named Inventor	Derek O'Hagan	
STATEMENT BY APPI	ICANT		Group Art Unit	1633	
			Confirmation No.	3852	
(use as many sheets as necessary	)		Examiner Name	Ilcana Popa	
Sheet 1	of	2	Attorney Docket Number	PP019768.002	

Examiner	Cite	U.S. I	Patent Document	Name of Patentee or	Issue Date
Initials*	No. '	Number	Class/Subclass	Applicant of Cited Document	MM-DD-YYYY
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Examiner		U.S. Patent F	ublication	Name of Patentee or	Date of Publication of Cited Document	
Initials*	No. 1	Number	Class/Subclass	Applicant of Cited Document	MM-DD-YYYY	
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English Language Translation is attached.

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Sheet	2	of	2	Attorney Docket Number	PP019768.002	

Examiner	Cite	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	·
Initials*	No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T,
IP	1.	D.T. O'HAGAN et al., "Synergistic adjuvant activity of immunostimulatory DNA and oil/water emulsions for immunization with HIV p55 gag antigen," <i>Vaccine</i> , 20 (2002), pp. 3389-3398.	
IP	2.	ELIAS FATTAL et al., "Biodegradable polyalkylcyanoacrylate nanoparticles for the delivery of oligonucleotides," <i>Journal of Controlled Release</i> , 53 (1998), pp. 137-143.	
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Examiner	/T] /	Date		05/17/2006
Signature	/Ileana Popa/	Considered	1	05/11/2000

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Substitute for form 1449A/PTO				Complete if Known		
				Application Number	10/757,708	
INFORM	AATION DISC	CLOSURE		Filing Date	01/14/2004	
STATEN	MENT BY API	PLICANT		First Named Inventor	Derek O'Hagan et al.	
				Group Art Unit	1632	
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Examiner Initials*	Cite No.	U.S. Patent Docu		Name of Patentee or Applicant	Date of Publication of Cited Document MM-
	140.	Number Class/Subc		of Cited Document	DD-YYYY
1.0	1	US2003/0170273A1	424/225.1	O'Hagan et al.	09/11/2003
	2.	US2003/0138453A1	424/199.1	O'Hagan et al.	07/24/2003
	3.	US2002/0136776 A1	424/501	Fang et al.	09/26/2002
	4.	US2002/0002272A1	530/388.3	Houghton et al.	01/03/2002
1/6	5.	6,086,901	424/283.1	O'Hagan et al.	07/11/2000
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Examiner	Cite		Foreign Patent Doc	ument	Name of Patentee or Applicant of	Date of Publication of Cited	Т
Initials*	No.	Office <sup>3</sup>	Number <sup>1</sup>	Class/Subclass	Cited Document	Document MM-DD-YYYY	
1.00	1.	WO	02/26209 A2	A61K 9/00	Chiron Corporation	04/04/2002	
	2.	WO	00/50006	A61K 9/107	Chiron Corporation	08/31/2000	
	3.	WO	98/33487	A61K 9/16	Chiron Corporation	08/06/1998	
	4.	WO	03/028661 A2	A61K	Chiron Corporation	04/10/2003	
	5.	WO	00/06123	A61K 9/16	Chiron Corporation	02/10/2000	
	6.	WO	01/36599 A1	C12N 5/06	Chiron Corporation	05/25/2001	
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	8.	WO	01/81609 A2	C12N 15/86	Chiron Corporation	11/01/2001	
1.0.	9.	wo	03/070909 A2	C12N	Chiron Corporation	08/28/2003	1

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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English Language Translation is attached.

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STATE	MENT BY A	PPLICANT		First Named Inventor	Derek O'Hagan et al.
				Group Art Unit	1632
	y sheets as neces	sary)		Examiner Name	Unassigned
Sheet	2	of	2	Attorney Docket Number	19768.002

		OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.  M. Briones et al., "The Preparation, Characterization, and Evaluation of Cationic Microparticles for DNA Vaccine Therapy," <i>Pharmaceutical Research</i> , Vol. 18, no. 5, 2001, pp. 709-712.			
1.17	1.				
	2.	J. Kazzaz et al., "Novel Anionic Microparticles Are a Potent Adjuvant for the Induction of Cytotoxic T Lymphocytes Against Recombinant p55 Gag from HIV-1," Journal of Controlled Release, Vol. 67, 2000, pp. 347-356.			
	3.	K.S. Denis-Mize et al., "Plasmid DNA Adsorbed onto Cationic Microparticles Mediates Target Gene Expression and Antigen Presentation by Dendritic Cells," <i>GeneTherapy</i> , Vol. 7, 2000, pp. 2105-2112.			
	4.	Manmohan Singh et al., "Cationic Microparticles Are an Effective Delivery System for Immune Stimulatory CpG DNA," <i>Pharmaceutical Research</i> , Vol. 18, no. 10, October 2001, pp. 1476-1479.			
	5.	Manmohan Singh et al., "Cationic Microparticles: A Potent Delivery System for DNA Vaccines," Proceedings of the National Academy of Science USA, Vol. 97, no. 2, Jan. 18, 2000, pp. 811-816.			
	6.	Manmohan Singh et al., "Mucosal Immunization with HIV-1 Gag DNA on Cationic Microparticles Prolongs Gene Expression and Enhances Local and Systemic Immunity," <i>Vaccine</i> , Vol. 20, 2002, pp. 594-602.			
1,0	7.	Derek O'Hagan et al., "Induction of Potent Immune Responses by Cationic Microparticles with Adsorbed Human Immunodeficiency Virus DNA Vaccines," <i>Journal of Virology</i> , Vol. 75, no. 19, Oct. 2001, pp. 9037-9043.			

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